

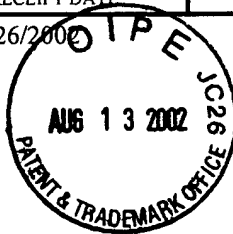


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/082,973	02/26/2002	James S. Norris	13896-003002

JANIS K. FRASER, PH.D., J.D.
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02100-2804



CONFIRMATION NO. 8113

FORMALITIES LETTER



OC000000008253346

Date Mailed: 06/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/15/2002 AOSMANI 00000057 061050 10082973

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:102	168.00 OP
03 FC:103	522.00 OP
04 FC:104	280.00 OP
05 FC:105	130.00 CH

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

Adjustment date: 04/17/2003 EIKUBAY1
08/15/2002 AOSMANI 00000057 061050 10082973
05 FC:105 130.00 CH

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$970** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1840** for a Large Entity

- **\$740** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$970**
 - **\$522** for **29** total claims over 20.
 - **\$168** for **2** independent claims over 3.
 - **\$280** for multiple dependent claim surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



MP/1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James S. Norris et al. Art Unit : 1635
Serial No. : 10/082,973 Examiner : Unknown
Filed : February 26, 2002
Title : TISSUE-SPECIFIC AND TARGET RNA-SPECIFIC RIBOZYMES

BOX MISSING PARTS

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, Virginia 22202

TRANSMITTAL LETTER

Correspondence relating to this application is enclosed.

1. Response to Notice to File Missing Parts (2 pages);
2. Copy of Notice to File Missing Parts (2 pages);
3. Request Under 37 CFR §1.821(e) To Transfer Computer Readable Form (2 pages);
4. Paper Copy of Sequence Listing (11 pages);
5. Date-stamped post card highlighted confirming enclosure of Combined Declaration and Power of Attorney;
6. Courtesy Copy of Combined Declaration and Power of Attorney (6 pages);
7. Revocation and New Power of Attorney from MUSC Foundation for Research Development (3 pages);
8. Revocation and New Power of Attorney from Penn State Research Foundation (2 pages); and
9. Check in the amount of \$1,710 for basic filing fee and additional claim fees.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 7, 2002

Date of Deposit

Signature

Judy Wasilkus

Typed or Printed Name of Person Signing Certificate

Applicant : James S. Norris et al.
Serial No. : 10/082,973
Filed : February 26, 2002
Page : 2

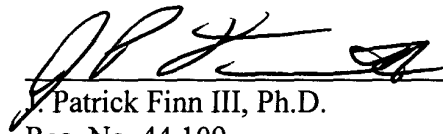
Attorney's Docket No.: 14017-004002 / PSU 96-1566

Please apply any charges not covered, or any credits, to Deposit Account No. 06-1050.

Respectfully submitted,

Date: _____

August 7, 2002



J. Patrick Finn III, Ph.D.
Reg. No. 44,109

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60 South Sixth Street
Suite 3300
Minneapolis, MN 55402
Telephone: (612) 335-5070
Facsimile: (612) 288-9696



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James S. Norris et al. Art Unit : 1635
Serial No. : 10/082,973 Examiner : Unknown
Filed : February 26, 2002
Title : TISSUE-SPECIFIC AND TARGET RNA-SPECIFIC RIBOZYMES

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed June 7, 2002 (copy enclosed), Applicants as a large entity submit herewith the following:

- ☒ Payment of the basic filing fee of \$740;
- ☒ Payment of the additional/multiple dependent claims fees of \$970;
- ☒ A check in the total amount of \$1710 is attached;
- ☒ A Courtesy Copy of Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63; (6 pages)
- ☒ Other:
Request Under 37 CFR 1.821(e) to Transfer Computer Readable Form (2 pages);
Paper Copy of Sequence Listing (11 pages); and
Date-stamped post card.

Applicant indicates that the Combined Declaration and Power of Attorney is only a courtesy copy. The Combined Declaration and Power of Attorney was filed with the application on February 26, 2002, as evidenced by a copy of date-stamped post card (enclosed) confirming receipt of the Combined Declaration and Power of Attorney. Applicant therefore is not submitting payment for the late oath or declaration surcharge.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 7, 2002

Date of Deposit

Signature

Judy Wasilkus

Typed or Printed Name of Person Signing Certificate

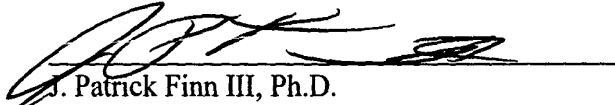
Applicant : James S. Norris et al.
Serial No. : 10/082,973
Filed : February 26, 2002
Page : 2

Attorney's Docket No.: 14017-004002 / PSU 96-1566

It is understood that this perfects the application and no additional papers or filing fees are required. Applicants respectfully request that Attorney Docket No. 13986-003002 be changed to 14017-004002. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: August 7, 2002


J. Patrick Finn III, Ph.D.
Reg. No. 44,109

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